DD Council Final Rule Crosswalk of 1997 Rule to 2015 Final Rule Implementing the DD Act of 2000

1997 Rule Implementing the DD Act of 1995	2015 Rule Implementing the DD Act of 2000	Notes
No corresponding language	Sec. III. Discussion of Final Rule	Preamble discusses distinction
	Many provisions of the DD Act specifically require	between lobbying and educational
	grantees to engage in such activities as advocacy,	activities included in the statute.
	capacity building, and/or systems change activities	2015 Rule supports and clarifies
	(sections 101(b)(1); 104(a)(3)(D)(ii)(I-III); 121(1);	the law's requirements for DD
	124(c)(4); 124(c)(4)(L); 125(c)(2); 143(a)(2)(A)(i);	Council programs to educate
	161(2)).	policymakers
Sec. 1385.1 General.	§ 1385.1 General.	Minor changes; updated language
Except as specified in Sec. 1385.4, the	Except as specified in §1385.4, the requirements in this	
requirements in this part are applicable to the	part are applicable to the following programs and	
following programs and projects:	projects:	
(a) Federal Assistance to State	(a) Federal Assistance to State Councils on	
Developmental Disabilities Councils;	Developmental Disabilities;	
(b) Protection and Advocacy of the Rights of	(b) Protection and Advocacy for Individuals with	
Individuals with Developmental Disabilities;	Developmental Disabilities;	
(c)Projects of National Significance; and	(c) Projects of National Significance; and	
(d) University Affiliated Programs (UAPs).	(d) National Network of University Centers for	
	Excellence in Developmental Disabilities Education,	
	Research, and Service.	
§ 1385.2 Purpose of the regulations.	§ 1385.2 Purpose of the regulations.	Updated language to reflect the
These regulations implement the	These regulations implement the Developmental	rule implements the 2000 statute
Developmental Disabilities Assistance and Bill	Disabilities Assistance and Bill of Rights Act of 2000 (42	
of Rights Act as amended (42 U.S.C. 6000, et	U.S.C. 15001 et seq.)	
seq.).		
§ 1385.3 – updated Definitions	§ 1385.3 Definitions	Definitions of particular note:
ACF means the Administration for Children and	ACL. The term "ACL" means the Administration for	Accessibility, AIDD, Advocacy
Families within the Department of Health and	Community Living within the U.S. Department of Health	Activities, Capacity Building
Human Services.	and Human Services.	Activities, Developmental
Act means the Developmental Disabilities	Act. The term "Act" means the Developmental	Disability, Systemic Change
Assistance and Bill of	Disabilities Assistance and Bill of Rights Act of 2000 (DD	Activities, and State.
Rights Act, as amended (42 U.S.C. 6000 et. seq).	Act of 2000) (42 U.S.C. 15001 et seq.).	

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ADD means the Administration on	AIDD. The term "AIDD" means the Administration on	
Developmental Disabilities, within the	Intellectual and Developmental Disabilities, within the	
Administration for Children and Families.	Administration for Community Living at the U.S.	
Commissioner means the Commissioner of the	Department of Health and Human Services.	
Administration on Developmental Disabilities,	Accessibility. The term "Accessibility" means that	
Administration for Children and Families,	programs funded under the DD Act of 2000 and	
Department of Health and Human Services or	facilities which are used in those programs meet	
his or her designee.	applicable requirements of section 504 of the	
Department means the U.S. Department of	Rehabilitation Act of 1973 (Pub. L. 93-112), its	
Health and Human Services (HHS).	implementing regulation, 45 CFR part 84, the	
Developmental disability shall have the same	Americans with Disabilities Act of 1990, as amended ,	
meaning in 45 CFR parts 1385, 1386, 1387, and	Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352),	
1388 as it does in the Developmental	and its implementing regulation, 45 CFR part 80. (1) For	
Disabilities Act, section 102(8), which reads	programs funded under the DD Act of 2000,	
``the term `developmental disability' means a	information shall be provided to applicants and	
severe, chronic disability of an individual 5	program participants in plain language and in a manner	
years of age or	that is accessible and timely to: 49 (i) Individuals with	
older that	disabilities, including accessible web sites and the	
(1) Is attributable to a mental or physical	provision of auxiliary aids and services at no cost to the	
impairment or	individual; and (ii) Individuals who are limited English	
combination of mental and physical	proficient through the provision of language services at	
impairments;	no cost to the individual, including: (A) Oral	
(2) Is manifested before the individual attains	interpretation; (B) Written translations; and (C) Taglines	
age 22;	in non-English languages indicating the availability of	
(3) Is likely to continue indefinitely;	language services.	
(4) Results in substantial functional	Advocacy activities. The term "advocacy activities"	
limitations in three or more	means active support of policies and practices that	
of the following areas of major life activity	promote systems change efforts and other activities	
(i) Self-care;	that further advance self-determination and inclusion	
(ii) Receptive and expressive language;	in all aspects of community living (including housing,	
(iii) Learning;	education, employment, and other aspects) for	
(iv) Mobility;	individuals with developmental disabilities, and their	
(v) Self-direction;	families.	

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(vi) Capacity for independent living; and	Areas of emphasis. The term "areas of emphasis"	
(vii) Economic self-sufficiency.	means the areas related to quality assurance activities,	
(5) Reflects the individual's need for a	education activities and early intervention activities,	
combination and sequence of	child care-related activities, health-related activities,	
special, interdisciplinary, or generic services,	employment-related activities, housing-related	
supports, or other assistance that is of lifelong	activities, transportation-related activities, recreation-	
or extended duration and is individually	related activities, and other services available or	
planned and coordinated, except that such	offered to individuals in a community, including formal	
term, when applied to infants and young	and informal community supports that affect their	
children means individual from birth to age 5,	quality of life.	
inclusive, who have substantial developmental	Assistive technology device. The term "assistive	
delay or specific congenital or acquired	technology device" means any item, piece of	
conditions with a high probability of resulting in	equipment, or product system, whether acquired	
developmental disabilities if services are not	commercially, modified or customized, that is used to	
provided." Such determination shall be	increase, maintain, or improve functional capabilities of	
made on a case-by-case basis and any State	individuals with developmental disabilities.	
eligibility definition of developmental disability	Assistive technology service. The term "assistive	
or policy statement which is more restrictive	technology service" means any service that directly	
than that of the Act does not apply as the Act	assists an individual with a developmental disability in	
takes precedence.	the selection, acquisition, or use of an assistive	
Protection and Advocacy Agency means the	technology device. Such term includes: conducting an	
organization or agency designated in a State to	evaluation of the needs of an individual with a	
administer and operate a protection and	developmental disability, including a functional	
advocacy (P&A) system for individuals with	evaluation of the individual in the individual's	
developmental disabilities under part C of the	customary environment; purchasing, leasing, or	
Developmental Disabilities Assistance and Bill	otherwise providing for the acquisition of an assistive	
of Rights Act, as amended (A P&A System	technology device by an individual with a	
under part C is authorized to investigate	developmental disability; selecting, designing, fitting,	
incidents of abuse and neglect regarding	customizing, adapting, applying, maintaining, repairing	
persons with developmental disabilities; pursue	or replacing an assistive technology device;	
administrative, legal and appropriate remedies	coordinating and using another therapy, intervention,	
or approaches to ensure protection of, and	or service with an assistive technology device, such as a	
advocacy for, the rights of such individuals; and	therapy, intervention, or service associated with an	

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provide information on and referral to	education or rehabilitation plan or program; providing	
programs and services addressing the needs of	training or technical assistance for an individual with a	
such individuals (section 142(a)(2)(A).); and	developmental disability, or, where appropriate, a	
advocacy programs under the Protection and	family member, guardian, advocate, or authorized	
Advocacy for Mentally III Individuals Act of	representative of an individual with a developmental	
1986 (PAIMI Act), as amended, (42 U.S.C.	disability; and providing training or technical assistance	
10801 et seq.) the Protection and Advocacy of	for professionals (including individuals providing	
Individual Rights Program (PAIR), (29 U.S.C.	education and rehabilitation services), employers, or	
794(e); and the Technology-Related Assistance	other individuals who provide services to, employ, or	
for Individuals With Disabilities Act of 1988, as	are otherwise substantially involved in the major life	
amended (29 U.S.C. 2212(e)). The Protection	functions of, an individual with developmental	
and Advocacy agency also may be designated	disabilities.	
by the Governor of a State to conduct the		
Client Assistance Program (CAP) authorized by	Capacity building activities. The term "capacity building	
section 112 of the Rehabilitation Act of 1973,	activities" means activities (e.g. training and technical	
as amended, (29 U.S.C. 732). Finally, the	assistance) that expand and/or improve the ability of	
Protection and Advocacy agency may provide	individuals with developmental disabilities, families,	
advocacy services under other Federal	supports, services and/or systems to promote, support	
programs.	and enhance self-determination, independence,	
	productivity and inclusion in community life.	
	Center. The term "Center" means a University Center	
	for Excellence in Developmental Disabilities Education,	
	Research, and Service (UCEDD) established under	
	subtitle D of the Act.	
	Child care-related activities. The term "child care-	
	related activities" means advocacy, capacity building,	
	and systemic change activities that result in families of	
	children with developmental disabilities having access	
	to and use of child care services, including before	
	school, after-school, and out-of-school services, in their	
	communities.	
	Culturally competent. The term "culturally	
	competent," used with respect to services, supports,	

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	and other assistance means that services, supports, or	
	other assistance that are conducted or provided in a	
	manner that is responsive to the beliefs, interpersonal	
	styles, attitudes, language, and behaviors of individuals	
	who are receiving the services, supports or other	
	assistance, and in a manner that has the greatest	
	likelihood of ensuring their maximum participation in	
	the program involved.	
	Department. The term "Department" means the U.S.	
	Department of Health and Human Services.	
	Developmental disability. The term "developmental	
	disability" means a severe, chronic disability of an	
	individual that: (1) Is attributable to a mental or	
	physical impairment or combination of mental and	
	physical impairments; (2) Is manifested before the	
	individual attains age 22; (3) Is likely to continue	
	indefinitely; (4) Results in substantial functional	
	limitations in three or more of the following areas of 52	
	major life activity: (i) Self-care; (ii) Receptive and	
	expressive language; (iii) Learning; (iv) Mobility; (vi)	
	Self-direction; (vii) Capacity for independent living; and	
	(viii) Economic self-sufficiency. (5) Reflects the	
	individual's need for a combination and sequence of	
	special, interdisciplinary or generic services,	
	individualized supports, or other forms of assistance	
	that are of lifelong or extended duration and are	
	individually planned and coordinated. (6) An individual	
	from birth to age nine, inclusive, who has a substantial	
	developmental delay or specific congenital or acquired	
	condition, may be considered to have a developmental	
	disability without meeting three or more of the criteria	
	described in paragraphs (1) through (5) of this	
	definition, if the individual, without services and	

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	supports, has a high probability of meeting those	
	criteria later in life.	
	Early intervention activities. The term "early	
	intervention activities" means advocacy, capacity	
	building, and systemic change activities provided to	
	infants and young children described in the definition	
	of "developmental disability" and their families to	
	enhance the development of the individuals to	
	maximize their potential, and the capacity of families to	
	meet the special needs of the individuals.	
	Education activities . The term "education activities"	
	means advocacy, capacity building, and systemic	
	change activities that result in individuals with	
	developmental disabilities being able to access	
	appropriate supports and modifications when	
	necessary, to maximize their educational potential, to	
	benefit from lifelong educational activities, and to be	
	integrated and included in all facets of student life.	
	Employment-related activities . The term	
	"employment-related activities" means advocacy,	
	capacity building, and systemic change activities that	
	result in individuals with developmental disabilities	
	acquiring, retaining, or advancing in paid employment,	
	including supported employment or self-employment,	
	in integrated settings in a community.	
	Family support services. The term "family support	
	services" means services, supports, and other	
	assistance, provided to families with a member or	
	members who have developmental disabilities, that are	
	designed to: strengthen the family's role as primary	
	caregiver; prevent inappropriate out-of-the-home	
	placement of the members and maintain family unity;	
	and reunite, whenever possible, families with members	

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	who have been placed out of the home. This term	
	includes respite care, provision of rehabilitation	
	technology and assistive technology, personal	
	assistance services, parent training and counseling,	
	support for families headed by aging caregivers,	
	vehicular and home modifications, and assistance with	
	extraordinary expenses associated with the needs of	
	individuals with developmental disabilities.	
	Fiscal year. The term "fiscal year" means the Federal	
	fiscal year unless otherwise specified.	
	Governor. The term "Governor" means the chief	
	executive officer of a State, as that term is defined in	
	the Act, or his or her designee who has been formally	
	designated to act for the Governor in carrying out the	
	requirements of the Act and the regulations.	
	Health-related activities. The term "health-related	
	activities" means advocacy, capacity building, and	
	systemic change activities that result in individuals with	
	developmental disabilities 54 having access to and use	
	of coordinated health, dental, mental health, and other	
	human and social services, including prevention	
	activities, in their communities.	
	Housing-related activities . The term "housing-related	
	activities" means advocacy, capacity building, and	
	systemic change activities that result in individuals with	
	developmental disabilities having access to and use of	
	housing and housing supports and services in their	
	communities, including assistance related to renting,	
	owning, or modifying an apartment or home.	
	Inclusion. The term "inclusion", used with respect to	
	individuals with developmental disabilities, means the	
	acceptance and encouragement of the presence and	
	participation of individuals with developmental	

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	disabilities, by individuals without disabilities, in social,	
	educational, work, and community activities, that	
	enable individuals with developmental disabilities to	
	have friendships and relationships with individuals and	
	families of their own choice; live in homes close to	
	community resources, with regular contact with	
	individuals without disabilities in their communities;	
	enjoy full access to and active participation in the same	
	community activities and types of employment as	
	individuals without disabilities; and take full advantage	
	of their integration into the same community resources	
	as individuals without disabilities, living, learning,	
	working, and enjoying life in regular contact with	
	individuals without disabilities.	
	Individualized supports. The term "individualized	
	supports" means supports that: enable an individual	
	with a developmental disability to exercise self-	
	determination, be independent, be productive, and be	
	integrated and included in all facets of community life;	
	designed to enable such individual to control such	
	individual's environment, permitting the most	
	independent life possible; and prevent placement into	
	a more restrictive living arrangement than is necessary	
	and 55 enable such individual to live, learn, work, and	
	enjoy life in the community; and include early	
	intervention services, respite care, personal assistance	
	services, family support services, supported	
	employment services support services for families	
	headed by aging caregivers of individuals with	
	developmental disabilities, and provision of	
	rehabilitation technology and assistive technology, and	
	assistive technology services.	
	Integration. The term "integration," means exercising	

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	the equal rights of individuals with developmental	
	disabilities to access and use the same community	
	resources as are used by and available to other	
	individuals.	
	Not-for-profit. The term "not-for-profit," used with	
	respect to an agency, institution or organization, means	
	an agency, institution, or organization that is owned or	
	operated by one or more corporations or associations,	
	no part of the net earnings of which injures, or may	
	lawfully inure, to the benefit of any private shareholder	
	or individual.	
	Personal assistance services. The term "personal	
	assistance services" means a range of services provided	
	by one or more individuals designed to assist an	
	individual with a disability to perform daily activities,	
	including activities on or off a job, that such individual	
	would typically perform if such individual did not have a	
	disability. Such services shall be designed to increase	
	such individual's control in life and ability to perform	
	everyday activities, including activities on or off a job.	
	Prevention activities . The term "prevention activities"	
	means activities that address the causes of	
	developmental disabilities and the exacerbation of	
	functional limitation, such as activities that: eliminate	
	or reduce the factors that cause or predispose	
	individuals to developmental disabilities or that	
	increase the prevalence of developmental disabilities;	
	increase the early identification of problems to	
	eliminate circumstances that create or increase	
	functional limitations; and mitigate against the effects	
	of developmental disabilities throughout the lifespan of	
	an individual.	
	Productivity. The term "productivity" means	

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	engagement in income-producing work that is	
	measured by increased income, improved employment	
	status, or job advancement, or engagement in work	
	that contributes to a household or community.	
	Protection and Advocacy (P&A) Agency. The term	
	"Protection and Advocacy (P&A) Agency" means a	
	protection and advocacy system established in	
	accordance with section 143 of the Act.	
	Quality assurance activities. The term "quality	
	assurance activities" means advocacy, capacity	
	building, and systemic change activities that result in	
	improved consumer and family centered quality	
	assurance and that result in systems of quality	
	assurance and consumer protection that include	
	monitoring of services, supports, and assistance	
	provided to an individual with developmental	
	disabilities that ensures that the individual will not	
	experience abuse, neglect, sexual or financial	
	exploitation, or violation of legal or human rights; and	
	will not be subject to the inappropriate use of restraints	
	or seclusion; include training in leadership, self-	
	advocacy, and self-determination for individuals with	
	developmental disabilities, their families, and their	
	guardians to ensure that those individuals will not	
	experience abuse, neglect, sexual or financial	
	exploitation, or violation of legal or human rights; and	
	will not be subject to the inappropriate use of restraints	
	or seclusion; or include activities related to interagency	
	coordination and systems integration that result in	
	improved and enhanced services, supports, and other	
	assistance that contribute to and protect the self-	
	determination, independence, productivity, and	
	integration and inclusion in all facets of community life	

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	of individuals with developmental disabilities.	
	Rehabilitation technology. The term "rehabilitation	
	technology" means the systematic 57 application of	
	technologies, engineering methodologies, or scientific	
	principles to meet the needs of, and address the	
	barriers confronted by individuals with developmental	
	disabilities in areas that include education,	
	rehabilitation, employment, transportation,	
	independent living, and recreation. Such term includes	
	rehabilitation engineering, and the provision of	
	assistive technology devices and assistive technology	
	services.	
	Required planning documents. The term "required	
	planning documents" means the State plans required	
	by § 1386.30 of this chapter for the State Council on	
	Developmental Disabilities, the Annual Statement of	
	Goals and Priorities required by § 1386.22(c) of this	
	chapter for P&As, and the five-year plan and annual	
	report required by § 1388.7 of this chapter for UCEDDs.	
	Secretary. The term "Secretary" means the Secretary of	
	the U.S. Department of Health and Human Services.	
	Self-determination activities. The term "self-	
	determination activities" means activities that result in	
	individuals with developmental disabilities, with	
	appropriate assistance, having the ability and	
	opportunity to communicate and make personal	
	decisions; the ability and opportunity to communicate	
	choices and exercise control over the type and intensity	
	of services, supports, and other assistance the	
	individuals receive; the authority to control resources	
	to obtain needed services, supports, and other	
	assistance; opportunities to participate in, and	
	contribute to, their communities; and support,	

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	including financial support, to advocate for themselves	
	and others to develop leadership skills through training	
	in self-advocacy to participate in coalitions, to educate	
	policymakers, and to play a role in the development of	
	public policies that affect individuals with	
	developmental disabilities.	
	State. The term "State": (1) Except as applied to the	
	University Centers of Excellence in Developmental 58	
	Disabilities Education, Research and Service in section	
	155 of the Act, includes each of the several States of	
	the United States, the District of Columbia, the	
	Commonwealth of Puerto Rico, the United States Virgin	
	Islands, Guam, American Samoa, and the	
	Commonwealth of the Northern Mariana Islands. (2)	
	For the purpose of UCEDDs in section 155 of the Act	
	and part 1388 of this chapter, "State" means each of	
	the several States of the United States, the District of	
	Columbia, the Commonwealth of Puerto Rico, the	
	United States Virgin Islands, and Guam.	
	State Council on Developmental Disabilities (SCDD).	
	The term "State Council on Developmental Disabilities	
	(SCDD)" means a Council established under section 125	
	of the DD Act.	
	Supported employment services . The term "supported	
	employment services" means services that enable	
	individuals with developmental disabilities to perform	
	competitive work in integrated work settings, in the	
	case of individuals with developmental disabilities for	
	whom competitive employment has not traditionally	
	occurred; or for whom competitive employment has	
	been interrupted or intermittent as a result of	
	significant disabilities; and who, because of the nature	
	and severity of their disabilities, need intensive	

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	supported employment services or extended services in	
	order to perform such work.	
	Systemic change activities. The term "systemic change	
	activities" means a sustainable, transferable and	
	replicable change in some aspect of service or support	
	availability, design or delivery that promotes positive or	
	meaningful outcomes for individuals with	
	developmental disabilities and their families.	
	Transportation-related activities. The term	
	"transportation-related activities" means advocacy,	
	capacity building, and systemic change activities that	
	result in individuals with 59 developmental disabilities	
	having access to and use of transportation.	
	UCEDD. The term "UCEDD" means University Centers	
	for Excellence in Developmental Disabilities Education,	
	Research, and Service, also known by the term "Center"	
	under section 102(5) of the Act.	
	Unserved and underserved. The term "unserved and	
	underserved" includes populations such as individuals	
	from racial and ethnic minority backgrounds,	
	disadvantaged individuals, individuals with limited	
	English proficiency, individuals from underserved	
	geographic areas (rural or urban), and specific groups of	
	individuals within the population of individuals with	
	developmental disabilities, including individuals who	
	require assistive technology in order to participate in	
	community life.	
§1385.4 – Rights of Individuals (a) Section 110	§ 1385.4 Rights of individuals with developmental	Slight variation, applicable to DD
of the Act, Rights of Individuals with	disabilities. (a) Section 109 of the Act, Rights of	Councils
Developmental Disabilities (42 U.S.C. 6009) is	Individuals with Developmental Disabilities (42 U.S.C.	What was Section 110 is now
applicable to the programs authorized under	15009), is applicable to the SCDD. (b) In order to	Section 109.
the Act, except for the Protection and Advocacy	comply with section 124(c)(5)(H) of the Act (42 U.S.C.	In the 1997 regulations, Section
System.	15024(c)(5)(H)), regarding the rights of individuals with	110 was "applicable to the

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(b) In order to comply with section 122(c)(5)(G)	developmental disabilities, the State participating in the	programs authorized under the
of the Act (42 U.S.C. 6022(c)(5)(G)), regarding	SCDD program must meet the requirements of 45 CFR	Act, except for the Protection and
the rights of individuals with developmental	1386.30(f). (c) Applications from UCEDDs also must	Advocacy System." The new Rule
disabilities, the State must meet the	contain an assurance that the human rights of	adds "applicable to the SCDD."
requirements of 45 CFR 1386.30(f)(2).	individuals assisted by this program will be protected	In the 1997 regulations, it is
(c) Applications from university affiliated	consistent with section 101(c) (see section 154(a)(3)(D)	mentioned that both UAPs (now
programs or for projects of national	of the Act).	UCEDDs) and "projects of national
significance grants must also contain an	,	significance" must prove they will
assurance that the human rights of individuals		protect peoples' human rights. In
assisted by these programs will be protected		the 2015 regulations, there is no
consistent with section 110 (see section		mention of the "projects of
53(c)(3) and section 162(c)(3) of the Act).		national significance".
		The new Rule adds that grantees
		must also comply with our
		nation's principles for people with
		developmental disabilities laid out
		in the findings and purposes
		Section 101 (c).
§1385.5 – Program Accountability and	§1385.5 – Program Accountability and Indicators of	AIDD may consider issuing
Indicators of Progress	Progress	guidance on the topic of
		collaboration in the future
§1385.6 – Employment of Individuals with	§1385.6 – Employment of Individuals with Disabilities	Reflects current law and ADA;
Disabilities		There will be a loss of federal
Each grantee which receives Federal funding	Each grantee which receives Federal funding under the	funds if found to be out of
under the Act must meet the requirements of	Act must meet the requirements of section 107 of the	compliance
section 109 of the Act (42 U.S.C. 6008)	Act (42 U.S.C. 15007) regarding affirmative action. The	
regarding affirmative action. The grantee must	grantee must take affirmative action to employ and	
take affirmative action to employ and advance	advance in employment and otherwise treat qualified	
in employment and otherwise treat qualified	individuals with disabilities without discrimination	
individuals with disabilities without	based upon their physical or mental disability in all	
discrimination based upon their physical or	employment practices such: advertising, recruitment,	
mental disability in all employment practices	employment, rates of pay or other forms of	
such as the following: Advertising, recruitment,	compensation, selection for training, including	

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employment, rates of pay or other forms of compensation, selection for training, including apprenticeship, upgrading, demotion or transfer, and layoff or termination. This obligation is in addition to the requirements of 45 CFR part 84, subpart B, prohibiting discrimination in employment practices on the basis of disability in programs receiving assistance from the Department. Recipients of funds under the Act also may be bound by the provisions of the Americans with Disabilities Act (Pub. L. 101-336, 42 U.S.C. 12101 et seq.) with respect to employment of individuals with disabilities. Failure to comply with section 109 of the Act may result in loss of Federal funds under the Act. If a compliance action is taken, the State will be given reasonable notice and an opportunity for a hearing as provided in Subpart D of 45 CFR part 1386.	apprenticeship, upgrading, demotion or transfer, and layoff or termination. This obligation is in addition to the requirements of 45 CFR part 84, subpart B, prohibiting discrimination in employment practices on the basis of disability in programs receiving assistance from the Department. Recipients of funds under the Act also may be bound by the provisions of the Americans with Disabilities Act of 1990 (Pub. L. 101-336, 42 U.S.C. 12101 et seq.) with respect to employment of individuals with disabilities. Failure to comply with section 107 of the Act may result in loss of Federal funds under the Act. If a compliance action is taken, the State will be given reasonable notice and an opportunity for a hearing as provided in subpart E of 45 CFR part 1386.	
§1385.7 – Reports to the Secretary Reserved	§ 1385.7 Reports to the Secretary. All grantee submission of plans, applications and reports must label goals, activities and results clearly in terms of the following: area of emphasis, type of activity (advocacy, capacity building, systemic change), and categories of measures of progress.	New section added based on 2000 law. DD Councils should already be following these required OMB approved reporting requirements.
§1385.8 – Formula for Determining Allotment The Commissioner will allocate funds appropriated under the Act for the State Developmental Disabilities Councils and the Protection and Advocacy Systems on the following basis:	§1385.8 – Formula for Determining Allotment The Secretary, or his or her designee, will allocate funds appropriated under the Act for the State Councils on Developmental Disabilities and the P&As as directed in sections 122 and 142 of the Act (42 U.S.C. 15022 and 15042).	Formula has been removed from regulation, but will stay the same for FY 2016. The FY 2017 formula will be released in Winter of 2016 for a 30 day public comment period through the guidance process. FY 2017 estimates will be posted

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(a) Two-thirds of the amount appropriated are		to the AIDD website in April 2016.
allotted to each State according to the ratio the		
population of each State bears to the		
population of the United States. This ratio is		
weighted by the relative per capita income for		
each State. The data used to compute		
allotments are supplied by the U.S. Department		
of Commerce, for the three most recent		
consecutive years for which satisfactory data		
are available.		
(b) One-third of the amount appropriated is		
allotted to each State on the basis of the		
relative need for services of persons with		
developmental disabilities. The relative need is		
determined by the number of persons receiving		
benefits under the Childhood Disabilities		
Beneficiary Program (Section 202(d)(1)(B)(ii) of		
the Social Security Act), (42 U.S.C.		
402(d)(1)(B)(ii)).		
§1385.9 – Grants Administration	§1385.9 – Grants Administration	
(a) The following parts of title 45 CFR apply to	(a) The following parts of this title and title 2 CFR apply	
grants funded under parts 1386 and 1388 of	to grants funded under parts 1386 and 1388 of this	
this chapter and to grants for Projects of	chapter, and to grants for Projects of National	
National Significance under section 162 of the	Significance under section 162 of the Act (42 U.S.C.	
Act (42 U.S.C. 6082).	15082):	
	(1) 45 CFR part 16 – Procedures of the Departmental	
45 CFR Part 16Procedures of the	Grant Appeals Board.	
Departmental Grant Appeals Board.	(2) 45 CFR part 46 – Protection of Human Subjects.	
45 CFR Part 46Protection of Human Subjects.	(3) 45 CFR part 75 – Uniform Administrative	
45 CFR Part 74Administration of Grants.	Requirements, Cost Principles, and Audit Requirements	

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45 CFR Part 75Informal Grant Appeals	for HHS Award. (4) 2 CFR part 376 – Nonprocurement	
Procedures.	Debarment and Suspension.	
45 CFR Part 80Nondiscrimination under	(5) 45 CFR part 80 – Nondiscrimination under Programs	
Programs Receiving Federal	Receiving Federal Assistance through the Department	
Assistance Through the Department of Health	of Health and Human Services Effectuation of title VI of	
and Human Services	the Civil Rights Act of 1964.	
Effectuation of title VI of the Civil Rights Act of	(5) 45 CFR part 81 – Practice and Procedure for	
1964.	Hearings under part 80 of this title.	
45 CFR Part 81Practice and Procedure for	(6) 45 CFR part 84 – Nondiscrimination on the Basis of	
Hearings Act under part 80 of this title.	Handicap in Programs and Activities Receiving Federal	
45 CFR Part 84Nondiscrimination on the Basis	Financial Assistance.	
of Handicap in Programs	(7) 45 CFR part 86 – Nondiscrimination on the Basis of	
and Activities Receiving or Benefiting from	Sex in Education Programs and Activities Receiving	
Federal Financial Assistance.	Federal Financial Assistance.	
45 CFR Part 86Nondiscrimination on the Basis	(8) 45 CFR part 91 – Nondiscrimination on the Basis of	
of Sex in Education	Age in Programs or Activities Receiving Federal	
Programs and Activities Receiving or Benefiting	Financial Assistance from HHS.	
from Federal Financial	(9) 45 CFR part 93 – New Restrictions on Lobbying.	
Assistance.	(b) The Departmental Appeals Board also has	
45 CFR Part 91Nondiscrimination on the Basis	jurisdiction over appeals by any grantee that has	
of Age in Programs or	received grants under the UCEDD programs or for	
Activities Receiving Federal Financial Assistance	Projects of National Significance. The scope of the	
from HHS.	Board's jurisdiction concerning these appeals is	
45 CFR Part 92Uniform Administrative	described in 45 CFR part 16. (c) The Departmental	
Requirements for Grants and	Appeals Board also has jurisdiction to decide appeals	
Cooperative Agreements to State and Local	brought by the States concerning any disallowances	
Governments.	taken by the Secretary, or his or her designee, with	
	respect to specific expenditures incurred by the States	
(b) The Departmental Appeals Board also has	or by contractors or sub grantees of States. This	
jurisdiction over appeals by grantees which	jurisdiction relates to funds provided under the two	
have received grants under the University	formula programs – subtitle B of the Act – Federal	
Affiliated program or for Projects of National	Assistance to State Councils on Developmental	
Significance. The scope of the Board's	Disabilities, and subtitle C of the Act – Protection and	

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jurisdiction concerning these appeals is	Advocacy for Individuals with Developmental	
described in 45 CFR part 16.	Disabilities. Appeals filed by States shall be decided in	
(c) The Departmental Appeals Board also has	accordance with 45 CFR part 16.	
jurisdiction to decide appeals brought by the	(d) In making audits and examination to any books,	
States concerning any disallowances taken by	documents, papers, and transcripts of records of	
the	SCDDs, the P&As, the UCEDDs and the Projects of	
Commissioner with respect to specific	National Significance grantees and sub grantees, as	
expenditures incurred by the States or by	provided for in 45 CFR part 75, the Department will	
contractors or sub grantees of States. This	keep information about individual clients confidential	
jurisdiction relates to funds provided under the	to the maximum extent permitted by law and	
two formula programsPart B of the Act	regulations. (e)(1) The Department or other authorized	
Federal Assistance to State Developmental	Federal officials may access client and case eligibility	
Disabilities Councils and	records or other records of a P&A system for audit	
Part C of the ActProtection and Advocacy of	purposes, and for purposes of monitoring system	
the Rights of Individuals with Developmental	compliance pursuant to section 103(b) of the Act.	
Disabilities. Appeals filed by States shall be	However, such information will be limited pursuant to	
decided in accordance with 45 CFR part 16.	section 144(c) of the Act. No personal identifying	
(d) In making audits and examinations to any	information such as name, address, and social security	
books, documents, papers, and transcripts of	number will be obtained. Only eligibility information	
records of State Developmental Disabilities	will be obtained regarding the type and level of	
Councils, the University Affiliated Programs,	disability of individuals being served by the P&A and	
and the Projects of National Significance	the nature of the issue concerning which the system	
grantees and sub grantees, as provided for in	represented an individual. (2) Notwithstanding	
45 CFR part 74 and part 92, the Department	paragraph (e)(1) of this section, if an audit, monitoring	
will keep information about individual clients	review, evaluation, or other investigation by the	
confidential to the maximum extent permitted	Department produces evidence that the system has	
by law and regulations.	violated the Act or the regulations, the system will bear	
(e) (1) The Department or other authorized	the burden of proving its compliance. The system's	
Federal officials may access client and case	inability to establish compliance because of the	
eligibility records or other records of the	confidentiality of records will not relieve it of this	
Protection and Advocacy system for audit	responsibility. The P&A may elect to obtain a release	
purposes and for purposes of monitoring	regarding personal information and privacy from all	
system compliance pursuant to section 104(b)	individuals requesting or receiving services at the time	

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of the Act.	of intake or application. The release shall state that	
However, such information will be limited	only information directly related to client and case	
pursuant to section 142(j) of the Act. No	eligibility will be subject to disclosure to officials of the	
personal identifying information such as name,	Department.	
address, and social security number will be		
obtained. Only eligibility information will be		
obtained regarding type and level of disability		
of individuals being served by the P&A and the		
nature of the issue concerning which the		
System represented an individual.		
(2) Notwithstanding paragraph (e)(1)of this		
section, if an audit, monitoring review,		
evaluation, or other investigation by the		
Department produces evidence that the system		
has violated the Act or the regulations, the		
system will bear the burden of proving its		
compliance. The system's inability to establish		
compliance because of the confidentiality of		
records will not relieve it of this responsibility.		
The system may elect to obtain a release from		
all individuals requesting or receiving services		
at the time of intake or application. The release		
shall state only information directly related to		
client and case eligibility will be subject to		
disclosure to officials of the Department.		
§1386 – Formula Grant Programs		
Subpart A – General		
§1386.1 – General		
§1386.2 – Obligation of Funds	(a) Funds which the Federal Government allots under	Terminology for the DD Council
(b)(i) A State incurs an obligation for	this part during a Federal fiscal	was updated
acquisition of personal property or for the	year are available for obligation by States for a two-	
performance of work on the date it makes a	year period beginning with the first day of	

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binding, legally enforceable, written	the Federal fiscal year in which the grant is awarded.	
commitment, or when the State	(b)(1) A State incurs an obligation for acquisition of	
Developmental Disabilities Council enters into	personal property or for the	
an Interagency Agreement with an agency of	performance of work on the date it makes a binding,	
State government for acquisition of personal	legally enforceable, written commitment, or	
property or for the performance of work.	when the State Council on Developmental Disabilities	
(c) (1) The Protection and Advocacy System	enters into an Interagency Agreement	
may elect to treat entry of an appearance in	with an agency of State government for acquisition of	
judicial and administrative proceedings on	personal property or for the performance	
behalf of an individual with a developmental	of work.	
disability as a basis for obligating funds for the	(2) A State incurs an obligation for personal services, for	
litigation costs. The amount of the funds	services performed by public	
obligated must not exceed a reasonable	utilities, for travel or for rental of real or personal	
estimate of the costs, and the way the estimate	property on the date it receives the services, its	
was calculated must be documented. For the	personnel takes the travel, or it uses the rented	
purposes of this paragraph, litigation costs	property. (c)(1) A Protection & Advocacy System may	
means expenses for court costs, depositions,	elect to treat entry of an appearance in	
expert witness fees, travel in connection with a	judicial and administrative proceedings on behalf of an	
case and similar costs and costs resulting from	individual with a developmental	
litigation in which the agency has represented	disability as a basis for obligating funds for the litigation	
an individual with developmental disabilities	costs. The amount of the funds	
(e.g. monitoring court orders, consent decrees),	obligated must not exceed a reasonable estimate of the	
but not for salaries of employees of the	costs, and the way the estimate was	
Protection and Advocacy agency. All funds	calculated must be documented.	
made available for Federal Assistance to State	(2) For the purpose of this paragraph (c), litigation costs	
Developmental Disabilities Councils and to the	means expenses for court costs,	
Protection and Advocacy System obligated	depositions, expert witness fees, travel in connection	
under this paragraph are subject to the	with a case and similar costs, and costs	
requirement of paragraph (a) of this section.	resulting from litigation in which the agency has	
These funds, if reobligated, may be reobligated	represented an individual with developmental	
only with a two year period beginning with the	disabilities (e.g., monitoring court orders, consent	
first day of the Federal fiscal year in which the	decrees), but not for salaries of employees of the P&A.	
funds were originally awarded.	All funds made available for Federal assistance to State	

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	Councils on Developmental	
	Disabilities and to the P&As obligated under this	
	paragraph (c) are subject to the requirement of	
	paragraph (a) of this section. These funds, if	
	reobligated, may be reobligated only within a two year	
	period beginning with the first day of the Federal fiscal	
	year in which the funds were	
	originally awarded.	
§1386.3 Liquidations	§1386.3 Liquidations	
(a) All obligations incurred pursuant to a grant	(a) All obligations incurred pursuant to a grant made	Similar language as previous rule –
made under the Act for a specific Federal fiscal	under the Act for a specific Federal fiscal year, must be	grantees have two years to
year, must be liquidated within two years of	liquidated within two years of the close of the Federal	liquidate funds or they revert back
the close of the Federal fiscal year in which the	fiscal year in which the grant was awarded.	to the Federal Government
grant was awarded.	(b) The Secretary, or his or her designee, may waive the	
(b) The Commissioner may waive the	requirements of paragraph (a) of this section when	Instead of Commissioner having
requirements in paragraph (a) of this section	State law impedes implementation or the amount of	authority to issue waiver, it is now
when State law impedes implementation or the	obligated funds to be liquidated is in dispute.	the Secretary, or his or her
amount of obligated funds to be liquidated is in	(c) Funds attributable to obligations which are not	designee
dispute.	liquidated in accordance with the provisions of this	
(c) Funds attributable to obligations which are	section revert to the Federal Government	
not liquidated in accordance with the		
provisions of this section revert to the Federal		
Government		
§1386.4 Reserved	§1386.4 Reserved	
Subpart C – Federal Assistance to State	Subpart D – Federal Assistance to State Developmental	Subpart change
Developmental Disabilities Councils	Disabilities Councils	
§1386.30 State Plan Requirements	(a) In order to receive Federal funding under this	Paragraphs (e) and (f) have been
()	subpart, each State Developmental	modified to limit Council
(a) In order to receive Federal financial	Disabilities Council must prepare and submit a State	demonstration projects to five
assistance under this Subpart, each State	plan which meets the requirements of	years (further guidance to come)
Developmental Disabilities Council must	sections 124 and 125 of the Act (42 U.S.C. 15024 and	Councils must also include
prepare and submit to the Secretary, and have	15025), and the applicable regulation.	strategies to locate other funding
in effect, a State Plan which meets the	Development of the State plan and its periodic	sources

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requirements of Sections 122 and 124 of the	updating are the responsibility of the State	
Act (42 U.S.C. 6022 and 6024) and these	Council on Developmental Disabilities. As provided in	If a Council wishes to extend a
regulations. Development of the State Plan and	section 124(d) of the Act, the Council	demonstration they must submit,
applicable annual amendments are	shall provide opportunities for public input and review	in the State Plan, the estimated
responsibilities of the State Developmental	(in accessible formats and plain language	duration, justification why the
Disabilities Council. The Council will provide	requirements), and will consult with the Designated	project is not funded by another
opportunities for public input during the	State Agency to determine that the plan is	source, justification to continue
planning and development of the State Plan	consistent with applicable State laws, and obtain	funding the project, data
and will consult with the Designated State	appropriate State plan assurances.	outcomes showing evidence of
Agency to determine that the plan is not in	(b) Failure to comply with the State plan requirements	success
conflict with applicable State laws and to	may result in the loss of Federal	
obtain appropriate State Plan assurances.	funds as described in section 127 of the Act (42 U.S.C.	AIDD reserves the right as the
	15027). The Secretary, or his or her	overseeing agency to deny the
(b) Failure to comply with State plan	designee, must provide reasonable notice and an	continuation of the demonstration
requirements may result in loss of Federal	opportunity for a hearing to the Council and the	project past five years
funds as described in Section 127 of the Act (42	Designated State Agency before withholding any	
U.S.C. 6027).	payments for planning, administration, and	In (b) failure to comply with the
	services.	State Plan will result in loss of
(c) The State plan may be submitted in any	(c) The State plan must be submitted through the	federal funds
format the State selects as long as the items	designated system by AIDD which is	
contained in the Act are addressed. The plan	used to collect quantifiable and qualifiable information	In (d) the State plan updated and
must:	from the State Councils on	AIDD must be informed If we are
	Developmental Disabilities. The plan must:	not informed that is considered to
(1) Identify the program unit(s) within the	(1) Identify the agency or office in the State designated	failure to comply by engaging in
Designated State Agency responsible for	to support the Council in	unallowable costs
helping the Council to obtain assurances and	accordance with section 124(c)(2) and 125(d) of the	TI: 1:
fiscal and other support services.	Act. The Designated State Agency shall provide required assurances and support services	This complies with current AIDD
	1 '	practice of substantive changes
(2) Identify the priority areas selected by the	requested from and negotiated with the Council.	that are due each year on August
Council and by the State in which 65% of	(2) For a year covered by the State plan, include for	15th (and will be due this year on
Federal allotment will be expended.	each area of emphasis under which a	August 15th)
(2) M(h	goal or goals have been identified, the measures of	Lindakaa will aankiiswa ka laa dh
(3) Where applicable, describe activities in	goal of goals flave been identified, the fileasures of	Updates will continue to be due

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which the State's Developmental Disabilities	progress the Council has established or is	January 1st of each year
Council, Protection and Advocacy System	required to apply in its progress in furthering the	
agency, and University Affiliated Program(s)	purpose of the Developmental Disabilities	
collaborate to remove barriers or address	Assistance and Bill of Rights Act through advocacy,	
critical issues within the State and bring about	capacity building, and systemic change	
broad systems changes to benefit individuals	activities.	
with developmental disabilities and, as	(3) Provide for the establishment and maintenance of a	
appropriate, individuals with other disabilities.	Council in accordance with	
	section 125 of the Act and describe the membership of	
(d) The State plan must be reviewed at least	such Council. The non-State agency	
once every three years.	members of the Council shall be subject to term limits	
	to ensure rotating membership.	
(e)	(d) The State plan must be updated during the five-year	
	period when substantive changes	
(1) The State Plan may provide for funding	are contemplated in plan content, including changes	
projects to demonstrate new approaches to	under paragraph (c)(2) of this section.	
direct services which enhance the	(e) The State plan may provide for funding projects to	
independence, productivity, and integration	demonstrate new approaches to	
and inclusion into the community of individuals	direct services that enhance the independence,	
with developmental disabilities. Direct service	productivity, and integration and inclusion into	
demonstrations must be short-term and	the community of individuals with developmental	
include a strategy to locate on- going funding	disabilities. Direct service demonstrations	
from other sources. For each demonstration	must be short-term, with a strategy to locate on-going	
funded, the State Plan must include an	funding from other sources after five	
estimated period of the project's duration and	years. Any State desiring to receive assistance beyond	
a brief description of how the services will be	five years, under this subtitle, shall	
continued without Federal developmental	include in the State plan the information listed in	
disabilities program funds. Council funds may	paragraphs (e)(1) through (3) of this section,	
not be used to fund on-going services which	and AIDD reserves the right as the overseeing agency to	
should be paid for by the State or other	deny the continuation of the	
sources.	demonstration project beyond five years.	
	(1) The estimated period for the project's continued	
(2) The State plan may provide for funding of	duration;	

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other projects or activities, including but not	(2) Justifications of why the project cannot be funded	
limited to, studies, evaluation, outreach,	by the State or other sources and	
advocacy, self-advocacy, training, community	should receive continued funding; and	
supports, public education, and prevention.	(3) Provide data outcomes showing evidence of	
Where extended periods of time are needed to	success.	
achieve desired results, these projects and	(f) The State plan may provide for funding of other	
activities need not be time-limited.	demonstration projects or activities,	
	including but not limited to outreach, training, technical	
(f) The State Plan must contain assurances that:	assistance, supporting and educating	
	communities, interagency collaboration and	
(1) The State will comply with all applicable	coordination, coordination with related councils,	
Federal statutes and regulations in effect	committees and programs, barrier elimination, systems	
during the time that the State is receiving	design and redesign, coalition	
formula grant funding;	development and citizen participation, and informing	
	policymakers. Demonstrations must be	
(2) The human rights of individuals with	short-term, with a strategy to locate on-going funding	
developmental disabilities will be protected	from other sources after five years. Any	
consistent with Section 110 of the Act (42	State desiring to receive assistance beyond five years,	
U.S.C. 6009).	under this subtitle, shall include in the	
	State plan the information listed in paragraphs (f)(1)	
(3) Buildings used in connection with activities	through (3) of this section, and AIDD	
assisted under the Plan must meet all	reserves the right as the overseeing agency to deny the	
applicable provisions of Federal and State laws	continuation of the demonstration project beyond five	
pertaining to accessibility, fire, health and	years. (1) The estimated period for the project's continued	
safety standards.	(1) The estimated period for the project's continued duration;	
	(2) Justifications on why the project cannot be funded	
(4) The State Developmental Disabilities Council	by the State or other resources and	
shall follow the requirements of Section 124(c)	should receive continued funding; and	
(8), (9) and (10) of the Act regarding budgeting,	(3) Provide data showing evidence of success.	
staff hiring and supervision and staff	(g) The State plan must contain assurances that are	
assignment. Budget expenditures must be	consistent with section 124 of the Act	
consistent with applicable State laws and	Consistent with section 124 of the Act	
policies regarding grants and contracts and		

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proper accounting and bookkeeping practices		
and procedures. In relation to staff hiring, the		
clause "consistent with State law" in Section		
124(c)(9) means that the hiring of State		
Developmental Disabilities Council staff must		
be done in accordance with State personnel		
policies and procedures except that a State		
shall not apply hiring freezes, reductions in		
force, prohibitions on staff travel, or other		
policies, to the extent that such policies would		
impact staff or functions funded with Federal		
funds and would prevent the Council from		
carrying out its functions under the Act.		
S420C 24 State plan submitted and annual	S420C 24 Chata when submitted and annual	
§1386.31 State plan submittal and approval	§1386.31 State plan submittal and approval	In (a) technical changes to update to current technology and
(a) The Council shall issue a public nation shout	(a) The Council shall issue a public notice about the	accessible format to individuals
(a) The Council shall issue a public notice about	availability of the proposed State plan or State plan	with DD and the public (i.e. limited
the availability of the proposed State Plan or State Plan amendment(s) for comment. The	amendment(s) for comment. The notice shall be	English proficiency)
Notice shall be published in formats accessible	published in formats accessible to individuals with	English proficiency)
to individuals with developmental disabilities	developmental disabilities and the general public (e.g.	In (b) the requirement was
and the general public (e.g., tape, diskette,	public forums, websites, newspapers, and other current	removed that the State Plan or
public forums, newspapers) and shall provide a	technologies) and shall provide a 45-day period for	amendment must be approved by
45 day period for public review and comment.	public review and comment. The Council shall take into	the entity or individual authorized
The Council shall take into account comments	account comments submitted within that period, and	to do so under State law as it may
submitted within that period and respond in	respond in the State plan to significant comments and	interfere with the non-
the State Plan to significant comments and	suggestions. A summary of the Council's responses to	interference clause AIDD will
suggestions. A summary of the Council's	State plan comments shall be submitted with the State	determine how to best effectuate
response to State Plan comments shall be	plan and made available for public review. This	this with the new cycle of State
submitted with the State Plan and made	document shall be made available in accessible formats	Plans, such as demonstrating that
available for public review. This document shall	upon request.	the Plan or amendment has been
be made available in accessible formats upon		shared or shown to the State

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request.	(b) The State plan or amendment must be submitted to	entity or individual
	AIDD 45 days prior to the fiscal year for which it is	
(b) The State plan must be submitted to the	applicable.	In (c) if a State plan is not
appropriate Regional Office of the Department		approved by the start of a Fiscal
45 days prior to the fiscal year for which it is	(c) Failure to submit an approvable State plan or	Year, Councils will not be able to
applicable. Unless State law provides	amendment prior to the Federal fiscal year for which it	drawn down the funds between
differently, the State plan and amendments or	is applicable may result in the loss of Federal financial	the start of the fiscal year and the
related documents must be approved by the	participation. Plans received during a quarter of the	approval of the State plan Councils
Governor or the Governor's designee as may be	Federal fiscal year are approved back to the first day of	will be able to be reimbursed for
required by any applicable Federal issuances.	the quarter so costs incurred from that point forward	costs incurred back to the current
(A fell or to a boil or constitution of	are approvable. Costs resulting from obligations	quarter
(c) Failure to submit an approvable State plan	incurred during the period of the fiscal year for which an approved plan is not in effect are not eligible for	Councils will be fully responsible
or amendment prior to the Federal fiscal years	Federal financial participation.	, ,
for which it is applicable may result in the loss	rederal illiancial participation.	for any costs obligated during the time between the start of the
of Federal financial Participation. Costs resulting from obligations incurred during the	(d) The Secretary, or his or her designee, must approve	fiscal year and approval of the
period of the fiscal year for which an approved	any State plan or plan amendment provided it meets	State plan
plan is not in effect are not eligible for Federal	the requirements of the Act and this regulation.	State plan
financial Participation.	the requirements of the rice and this regulation.	
mandari articipation.		
(d) The Commissioner must approve any State		
plan or plan amendment provided it meets the		
requirements of the Act and these regulations.		
(e) Amendments to the State plan are required		
when substantive changes are contemplated in		
plan content		
§1386.32 Periodic reports: Federal assistance	§ 1386.32 Periodic reports: Federal assistance to State	
to State Developmental Disabilities Councils	Councils on Developmental	AIDD is continuing to review this
	Disabilities.	section to update PPRs
a) The Governor or appropriate State financial	(a) The Governor or appropriate State financial officer	

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officer must submit financial status reports on	must submit financial status	
the programs funded under this Subpart	reports (AIDD-02B) on the programs funded under this	
according to a frequency interval which will be	subpart semiannually.	
specified by the Administration for Children	(b) By January 1 of each year, the State Council on	
and Families. In no case will such reports be	Developmental Disabilities shall	
required more frequently than quarterly.	submit to AIDD, an Annual Program Performance	
	Report through the system established by	
(b) Pursuant to Section 107(a) of the Act (U.S.C.	AIDD. In order to be accepted by AIDD, reports must	
6006a), the State Developmental Disabilities	meet the requirements of section	
Council shall submit an Annual Program	125(c)(7) of the Act (42 U.S.C. 15025) and the	
Performance Report in a form that facilitates	applicable regulations, include the information on	
Council reporting of results of activities	its program necessary for the Secretary, or his or her	
required under Sections 122 and 124 of the	designee, to comply with section 105(1),	
Act. The report shall be submitted to the	(2), and (3) of the Act (42 U.S.C. 15005), and any other	
appropriate Regional ACF office, by January 1	information requested by AIDD. Each	
of each year	Report shall contain information about the progress	
	made by the Council in achieving its goals	
	including:	
	(1) A description of the extent to which the goals were	
	achieved;	
	(2) A description of the strategies that contributed to	
	achieving the goals;	
	(3) To the extent to which the goals were not achieved,	
	a description of factors that	
	impeded the achievement;	
	(4) Separate information on the self-advocacy goal	
	described in section 124(c)(4)(A)(ii)	
	of the Act (42 U.S.C. 15024);	
	(5) As appropriate, an update on the results of the	
	comprehensive review and analysis of	
	the extent to which services, supports, and other	
	assistance are available to individuals with	
	developmental disabilities and their families, including	

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	the extent of unmet needs for services,	
	97	
	supports, and other assistance for those individuals and	
	their families, in the State as required in	
	section 124(c)(3) of the Act (42 U.S.C. 15024);	
	(6) Information on individual satisfaction with Council	
	supported or conducted	
	activities;	
	(7) A description of the adequacy of health care and	
	other services, supports, and	
	assistance that individuals with developmental	
	disabilities in Intermediate Care Facilities for	
	Individuals with Intellectual Disabilities (ICF/IID)	
	receive;	
	(8) To the extent available, a description of the	
	adequacy of health care and other	
	services, supports, and assistance received by	
	individuals with developmental disabilities served	
	through home and community-based waivers	
	(authorized under section 1915(c) of the Social	
	Security Act);	
	(9) An accounting of the funds paid to the State	
	awarded under the DD Council program;	
	(10) A description of resources made available to carry	
	out activities to assist individuals	
	with developmental disabilities directly attributable to	
	Council actions;	
	(11) A description of resources made available for such	
	activities that are undertaken by	
	the Council in collaboration with other entities; and	
	(12) A description of the method by which the Council	
	will widely disseminate the	
	annual report to affected constituencies and the	

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	general public and will assure that the report is	
	available in accessible formats.	
	(c) Each Council must include in its Annual Program	
	Performance Report information	
	on its achievement of the measures of progress.	
§Section 1386.34 Designated State Agency	§Section 1386.34 Designated State Agency	No changes except technical
		changes to reflect the move of
		AIDD to ACL
§Section 1386.36 Final disapproval of the	§Section 1386.36 Final disapproval of the State plan or	Eliminates references to regional
State plan or plan amendments	plan amendments	offices
		Technical changes to reflect name
		change of AIDD
§Section	§Section	
§Section	§Section	